

**STATEMENT OF SOURCE OF FUNDS AND SOURCE OF WEALTH**

Date: \_\_\_\_\_

Dear Lek Securities:

We understand that your firm for Anti-Money Laundering purposes now requires that its customers disclose the source of funds and the source of wealth that were used to fund an account.

Please be advised that the account(s) of \_\_\_\_\_ was ultimately funded by the following individuals:  
Insert legal name of account holder

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_

The listed individuals obtained their wealth as set forth in the attachments hereto.

I certify that tis information is correct to the best of my knowledge.

Very truly yours,

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Title

**INDIVIDUAL 1**

The individual listed above obtained his/her wealth as follows:

[ ] The individual has been an investor for over \_\_\_\_ years and as such accumulated wealth in excess of \$ \_\_\_\_\_

[ ] The individual has been gainfully employed for over \_\_\_\_ years by the following employers

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

As a result, wealth in excess of \$ \_\_\_\_\_ has been accumulated

[ ] The individual has earned profits for over \_\_\_\_ years from the following businesses:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

As a result, wealth in excess of \$ \_\_\_\_\_ has been accumulated

[ ] The individual inherited or was gifted or inherited wealth in excess of \$ \_\_\_\_\_ from

the following persons:

\_\_\_\_\_ Year \_\_\_\_\_

\_\_\_\_\_ Year \_\_\_\_\_

\_\_\_\_\_ Year \_\_\_\_\_

Any additional source of wealth, if any, has been disclosed on the attached pages. I certify that this is true and correct to the best of my knowledge.

\_\_\_\_\_  
Signature

**INDIVIDUAL 2**

The individual listed above obtained his/her wealth as follows:

[ ] The individual has been an investor for over \_\_\_\_ years and as such accumulated wealth in excess of \$ \_\_\_\_\_

[ ] The individual has been gainfully employed for over \_\_\_\_ years by the following employers

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

As a result, wealth in excess of \$ \_\_\_\_\_ has been accumulated

[ ] The individual has earned profits for over \_\_\_\_ years from the following businesses:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

As a result, wealth in excess of \$ \_\_\_\_\_ has been accumulated

[ ] The individual inherited or was wealth in excess of \$ \_\_\_\_\_ from

the following persons:

\_\_\_\_\_ Year \_\_\_\_\_

\_\_\_\_\_ Year \_\_\_\_\_

\_\_\_\_\_ Year \_\_\_\_\_

Any additional source of wealth, if any, has been disclosed on the attached pages. I certify that this is true and correct to the best of my knowledge.

\_\_\_\_\_  
Signature

**INDIVIDUAL 3**

The individual listed above obtained his/her wealth as follows:

[ ] The individual has been an investor for over \_\_\_\_ years and as such accumulated wealth in excess of \$ \_\_\_\_\_

[ ] The individual has been gainfully employed for over \_\_\_\_ years by the following employers

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

As a result, wealth in excess of \$ \_\_\_\_\_ has been accumulated

[ ] The individual has earned profits for over \_\_\_\_ years from the following businesses:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

As a result, wealth in excess of \$ \_\_\_\_\_ has been accumulated

[ ] The individual inherited or was gifted or inherited wealth in excess of \$ \_\_\_\_\_ from the following persons:

\_\_\_\_\_ Year \_\_\_\_\_  
\_\_\_\_\_ Year \_\_\_\_\_  
\_\_\_\_\_ Year \_\_\_\_\_

Any additional source of wealth, if any, has been disclosed on the attached pages. I certify that this is true and correct to the best of my knowledge.

\_\_\_\_\_  
Signature

**INDIVIDUAL 4**

The individual listed above obtained his/her wealth as follows:

[ ] The individual has been an investor for over \_\_\_\_ years and as such accumulated wealth in excess of \$ \_\_\_\_\_

[ ] The individual has been gainfully employed for over \_\_\_\_ years by the following employers

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

As a result, wealth in excess of \$ \_\_\_\_\_ has been accumulated

[ ] The individual has earned profits for over \_\_\_\_ years from the following businesses:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

As a result, wealth in excess of \$ \_\_\_\_\_ has been accumulated

[ ] The individual inherited or was gifted or inherited wealth in excess of \$ \_\_\_\_\_ from the following persons:

\_\_\_\_\_ Year \_\_\_\_\_  
\_\_\_\_\_ Year \_\_\_\_\_  
\_\_\_\_\_ Year \_\_\_\_\_

Any additional source of wealth, if any, has been disclosed on the attached pages. I certify that this is true and correct to the best of my knowledge.

\_\_\_\_\_  
Signature